

Non-Complying Investment Arrangements: Regulation, Enforcement and the Corporate Veil

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ABSTRACT

Australian's Corporations Act 2001 imposes various regulatory requirements in relation to (non-prudentially regulated) investment arrangements, and prohibits the offer and conduct of arrangements that do not comply. This paper looks at how the various prohibitions can be, and are, enforced against individual directors and officers of companies that have offered or conducted non-complying investment arrangements.

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